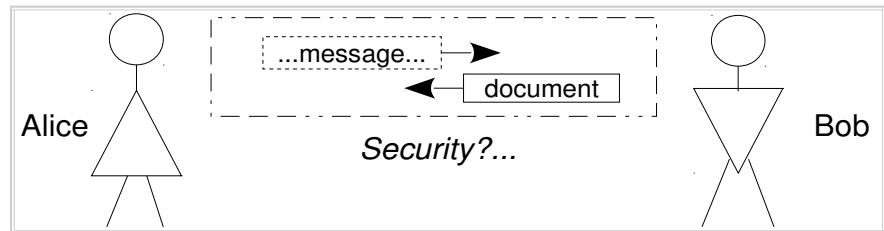
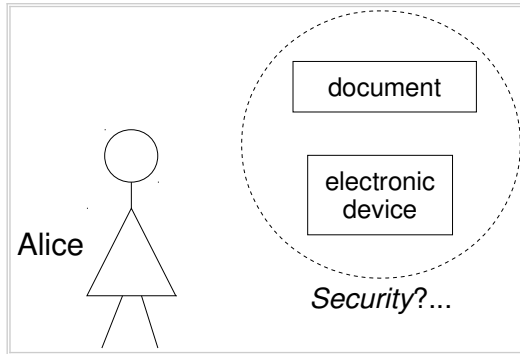

COMPUTER SECURITY

- Cryptography: general protection techniques ([2](#))
 - Protection purpose ([3](#))
 - Secure channel for communication: ([4](#))
 - Utilization of a secure channel ([5](#))
 - Protecting Communication Channels ([6](#))
 - Confidentiality ([6](#))
 - Integrity ([9](#))
 - Integrity + Confidentiality: Authenticated Modes ([20](#))
 - Authentication (*to be presented*) ([32](#))
- Pointers... ([33](#))

Cryptography: general protection techniques



Protection purpose

- provide **access control** to resources (e.g. users' information)
 - by building secure channels
 - for communication
 - for storage
 - with properties
 - main: confidentiality, integrity and authentication
 - secondary: anonymity, forward secrecy, etc.

Secure channel for communication:

- cryptographically-protected conversation line between two identified subjects
 - called, in some contexts, *security association (SA)*
- basic, expected properties:
 - Authentication – assuring that each subject is talking to the genuine other
 - Integrity – assuring that deletion, change or addition of data is detected
 - Confidentiality – assuring that data is not understandable by anybody else

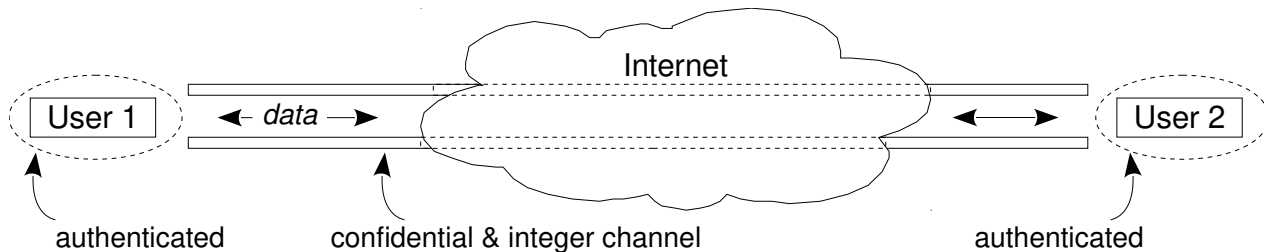


Fig. General secure (communication) channel.

Utilization of a secure channel

- 1st: Authentication of one or both subjects and probable parameter negotiation
 - usually,
 - an asymmetrical cipher is used
 - a "session key"¹ is created
- 2nd: Utilization proper
 - maybe also with protection for
 - integrity
 - confidentiality
 - usually,
 - a symmetrical cipher is used (with above session key)

¹ more on this in a following chapter

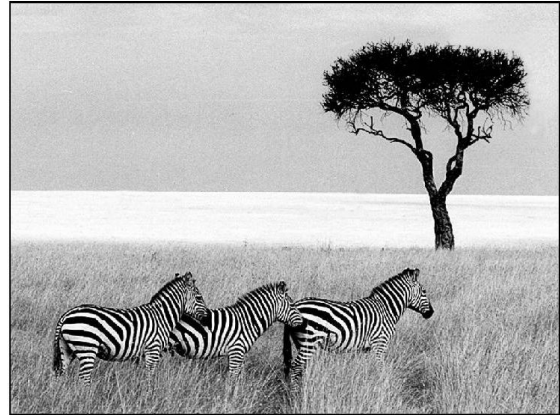
Protecting Communication Channels

Confidentiality

- assurance of limited disclosure of information
 - implies Authentication of the entities involved!

Solutions

- hide the sensitive documents
 - physically saving them
 - cunningly disguising them
 - steganography! [FIG¹]
- encipher documents
 - parties need appropriate keys



1 Presumably, the original of this picture (coloured, 1024×768 pixel), contains in compressed form the complete unabridged text of five Shakespeare's plays, totaling more than 700kB of text. (Tanenbaum, Modern Operating Systems)

...Confidentiality assurance (cont.)...

Hiding of documents

- not covered here (see steganography examples in the literature)

Encipherment of documents

- symmetrical technique [FIG a)]
- asymmetrically technique [FIG b)]

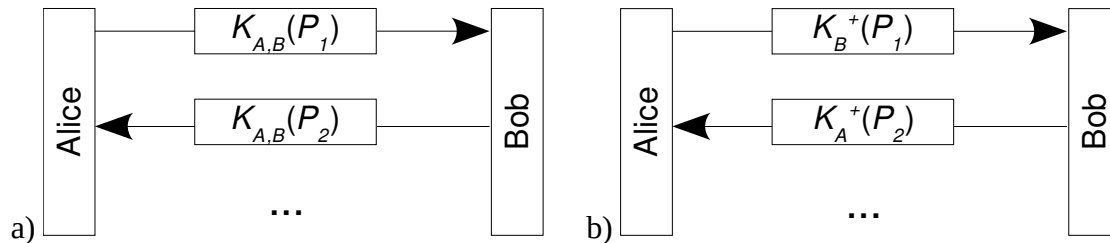


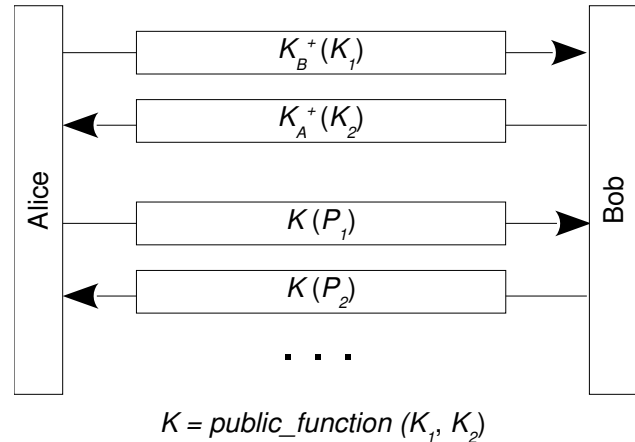
Fig. Base encipherment techniques: a) shared key; b) public key.

...Confidentiality assurance (cont.): encipherment of documents

Practical problems:

- symmetrical keys are difficult to manage
- asymmetrical operations are very inefficient

- So, usual solution:¹ [FIG]
 1. exchange symmetric key by public-key means
 2. encipher documents with exchanged shared key



¹ Conceptually, steps are sometimes called: 1. key encapsulation mechanism (KEM) ; 2. data encapsulation mechanism (DEM).

Integrity

- assuring that a change in "document"¹ is detected²
 - implies Authentication of the entities involved!

Solutions

- encipher the document (...)
 - with symmetric or asymmetric algorithms
- use integrity code
 - with shared key
- digitally sign the document
 - directly, with private key of sender
 - through its digest (with private key of sender)

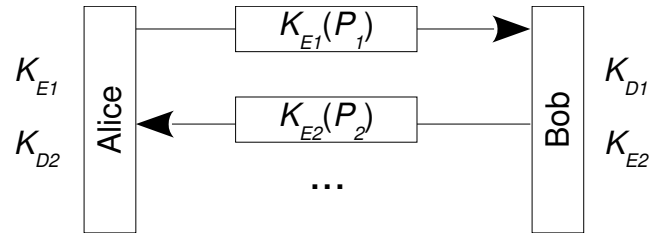
1 file, message,...

2 if detected, change cannot be corrected (in general!)

...Integrity Protection (cont.)

Simple "solution" for integrity problem: encipher everything!

- exchange ciphered information
 - detection of alteration of message (e.g. intelligibility affected)!
 - confidentiality also granted (but not relevant here)



Problems

- symmetric cipher: no origin authenticity (repudiation is possible)!
- asymmetric cipher: low efficiency!
- in any case, alterations can go unnoticed:
 - in applications with general binary data (numbers, pictures...)
 - with some algorithms that guarantee confidentiality but not integrity (e.g. *One-time pad*)!

...Integrity Protection (cont.)

Better solution: use Message Integrity Codes, MIC¹

- parties agree on a (shared) key
- sender builds an *hash* of "message plus key" (*keyed hash* technique):
 - that is the MIC! E.g. $MIC = h(m \parallel K)$ (\parallel means concatenation)
- sender transmits both message and MIC
- receiver can check message's integrity, repeating hash operation

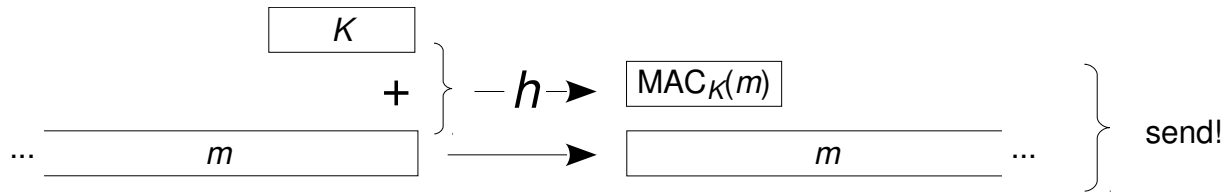


Fig. General construction principle and usage of Message Integrity Codes.

1 The Message Integrity Check term, originally presented in RFC 1421 (Privacy Enhancement for Internet Electronic Mail), is currently not much used; instead, the designation in fashion is Message Authentication Code, MAC. Some authors make a slight distinction between the two (e.g. see Menezes et al.' Handbook of Applied Cryptography); I will not. Also, I will prefer MIC, as it is more clear.

...Integrity Protection with message integrity codes (cont.)...

Problems

- uses a shared key
 - parties must exchange it, somehow
 - there is no prevention for:
 - message alteration (or forging) by the recipient
 - message repudiation by the sender!

Exercise:

- What vulnerability would turn up if in the *keyed hash technique* MIC/MAC was instead defined as $h(K || m)$?

...Integrity Protection with message integrity codes (cont.)...

A famous MIC: the HMAC

- HMAC, *Hashed Message Authentication Code*, IETF RFC 2104
 - $MAC = h \{ (K \oplus opad) \parallel h [(K \oplus ipad) \parallel m] \}$

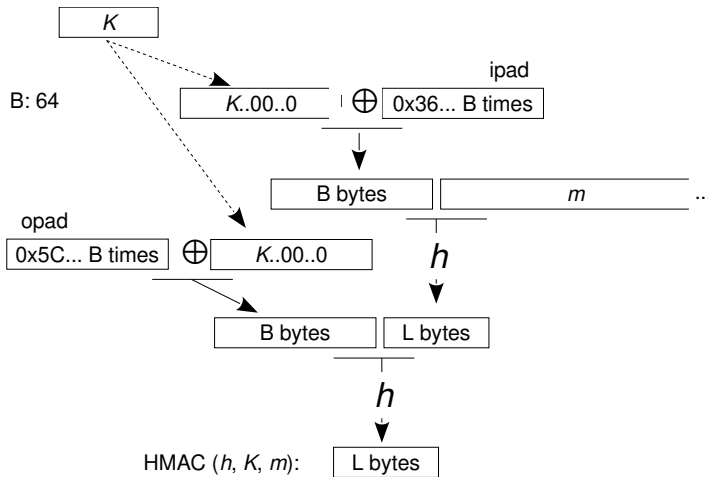


Fig. HMAC with SHA-1:

- $h = \text{SHA-1}$
- $K = 128$ b
- $B = 64$ bytes (512 b)
- $L = 160$ b

E.g.

$\text{HMAC_SHA1}(\text{"key"}, \text{"HMAC"}) =$
 $6f0cd789d86644081ce5fe03caa9a70478d1f14e$

...Integrity Protection (cont.)

Great solution: use digital signatures

- allows:
 - checking of a document for alteration
 - associating a document to its author
- and so:
 - only author can change the original document
 - readers are assured of the identity of author
 - author is not able to deny authorship of document (repudiate it)

Techniques

- public key¹
- message digest (with public key!)

1 In reality, a digital signature is made with a *private* key!

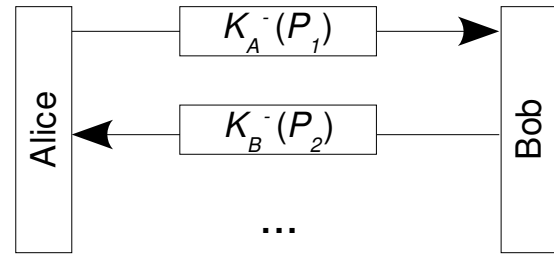
...Integrity Protection with digital signatures (cont.)...

Digital signatures: (plain) public key technique

- encipherment with sender's private key
- decipherment with sender's public key

Problems

- "major":
 - asymmetric cipher: low efficiency!
- "minor":
 - sender's private key must be kept secret
 - sender's public key must be known in advance
 - longevity of protection of sent document implies safe keeping of key pair



...Integrity Protection with digital signatures (cont.)

Digital signatures: message digest (with public key) technique

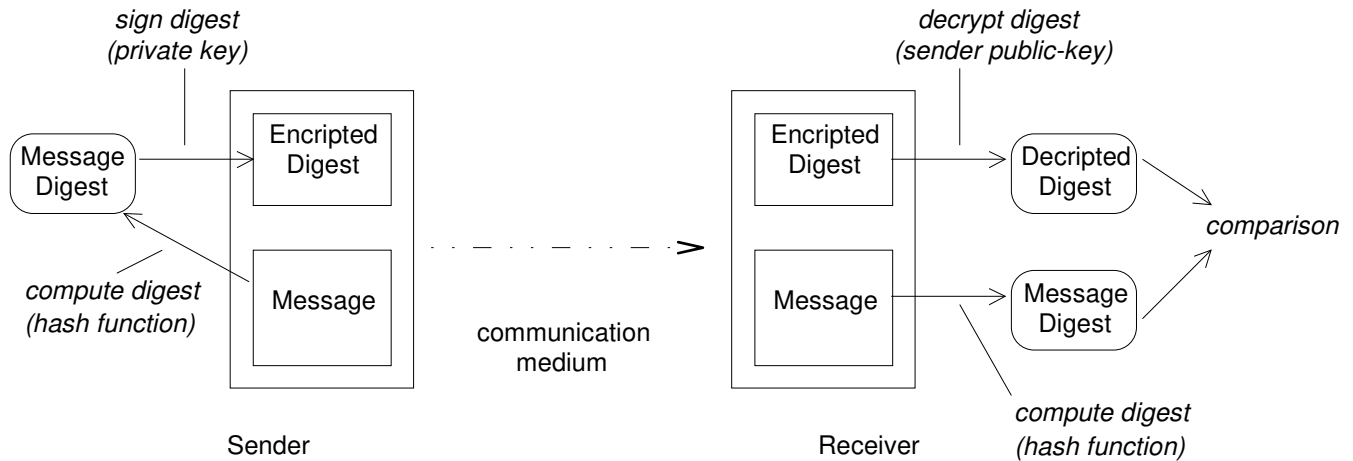


Fig. Integrity protection with digital signatures: message digest technique. (in Tanenbaum, ...)

...Digital signatures: message digest technique (cont.)

Problems

- "major":
 - greater complexity
 - (but no efficiency penalty as hashing is very fast!)
- "minor":
 - same as (simple) public key's technique

Exercise (Integrity protection):

- Present an advantage and a disadvantage of each of the different techniques for integrity protecting messages.

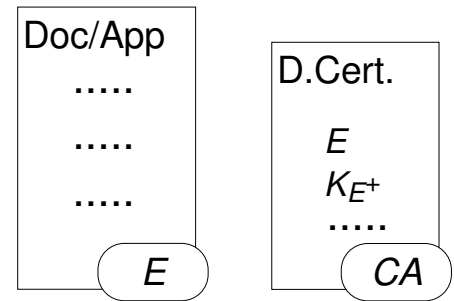
...Digital signatures (cont.)

Example: Secure distribution of documents or software



Part I: Emission

- Emitter E of application/document APP
 - digitally signs APP
 - public-key technique, digest technique...
 - generates $[APP]_E$ ¹
 - appends to $[APP]_E$ a digital certificate² $[DC(E)]_{CA}$
 - certificate has K_E^+
 - is signed by CA (also trusted by Receiver!)
 - sends everything to Receiver
 - $APP + [APP]_E + [DC(E)]_{CA}$



1 Notation of digital signature: $[DOC]_E \iff K_E^- (DOC)$ or $[DOC]_E \iff K_E^- (h(DOC))$

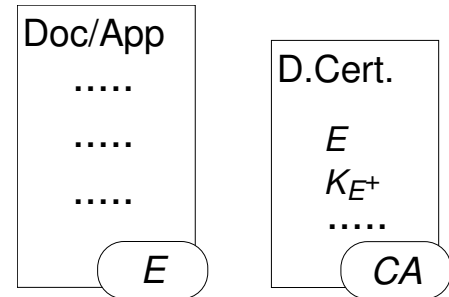
2 much more on this in a following chapter

...Example: Secure distribution of documents or software (cont.)



Part II: Reception

- Receiver R of application/document
 - gets K_E^+ of Emitter (if he does not yet have it)
 - by processing the digital certificate $[DC(E)]_{CA}$
 - must already know, or somehow get, K_{CA}^+
 - checks the integrity of $[DC(E)]_{CA}$
 - checks the integrity of $[APP]_E$
 - uses APP with confidence!



Integrity + Confidentiality: Authenticated Modes

- as already said, even "mixed" confidentiality operation modes are vulnerable to undetectable modifications of ciphertext
- so, some type of integrity protection must be added
 - basic example: combine secrecy with digital signatures [FIG]
 - in general: use *authenticated encipherment* protocols

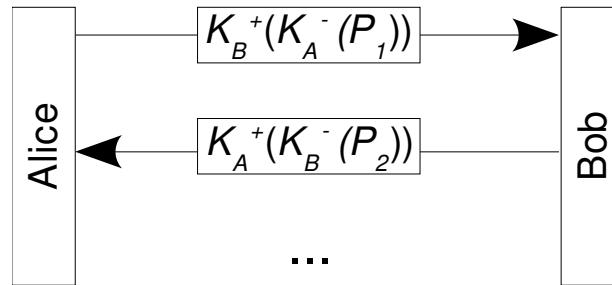


Fig. Confidentiality with integrity protection.

...Integrity Protection (cont.)...

Authenticated ciphering protocols (modes)¹

- special protocols developed to aggregate both protections
 - in general, integrity protection is provided by Message Integrity² Codes
 - but digital signing can also be used (of course) [previous FIG]
- the main approaches are:
 - (external) combination of protective techniques³
 - prone to failures due to incorrect implementation
 - "intrinsic" combination
 - several standardized schemes
 - sponge functions can be used in *duplex mode*!
 - *signcryption*: "low-cost" combination of digital signing and ciphering⁴

1 Authenticated Encryption with Associated Data (AEAD) applies when it is explicitly necessary to assure integrity protection of plaintext data that is to accompany ciphertext (e.g. network packets might need a visible header that should be integrity protected as well as the secret payload).

2 or Authentication ;-)

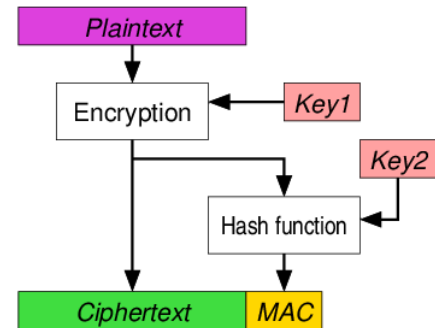
3 also called "generic composition" of schemes used separately for achieving confidentiality and integrity protection

4 [Digital Signcryption or How to Achieve Cost\(Signature & Encryption\).... Y. Zheng, CRYPTO '97](#)

Authenticated Modes - "generic composition"

Encrypt-then-MAC, EtM

- ISO/IEC 19772:2009
- process [FIG - *in* Wikipedia]
 - 1st, encipher; 2nd, calculate MIC
 - non-parallelizable
- different keys K_E, K_{MAC} !
- "normal" padding
- reverse process:
 - verify integrity of ciphertext; decipher to get plaintext
 - parallelizable
- considered the more secure method (compared with the following)¹

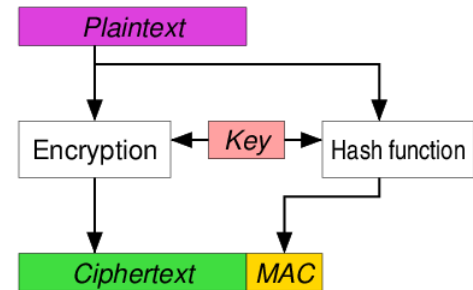


¹ see, for instance, Bellare & Namprepre "Authenticated Encryption: Relations among Notions and Analysis of the Generic Composition Paradigm" (2008)

...Integrity Protection with Authenticated Modes - "generic composition" (cont.)

Encrypt-and-MAC (E&M)

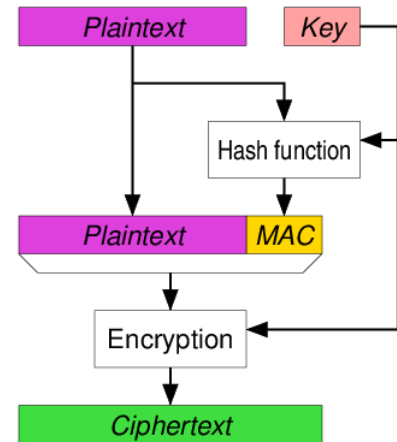
- process [FIG - in Wikipedia]
 - encipher; calculate MIC
 - parallelizable
- apparently, a single key is enough!
- "normal" padding
- reverse process:
 - 1st, decipher to get plaintext;
 - 2nd, verify integrity of plaintext
 - non-parallelizable



...Integrity Protection with Authenticated Modes - "generic composition" (cont.)

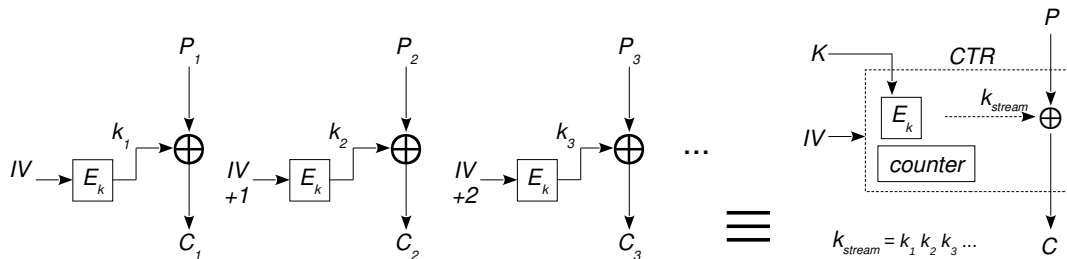
MAC-then-Encrypt (MtE)

- process [FIG - in Wikipedia]
 - 1st, calculate MIC; 2nd, encipher
 - non-parallelizable
- apparently, a single key is enough!
- padding after hashing
- reverse process:
 - 1st, decipher to get plaintext and MAC; 2nd, verify integrity of plaintext
 - non-parallelizable



Authenticated Modes - "intrinsic"

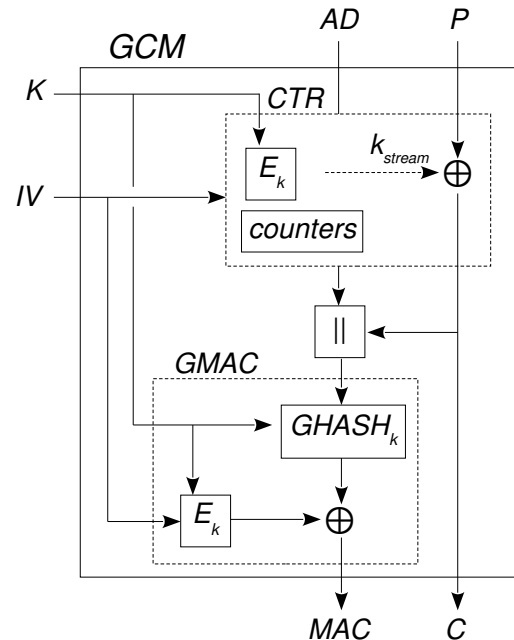
- here, there is an integration of the 2 protections
 - the schemes are built with provision to provide both
- the usual procedure is
 - use a primary key (*seed*) to feed an extended key-generation function
 - use the generated long key, to encipher P in *stream* mode
 - typically, a variant of Counter Mode is used [FIG]
 - use part of the generated key to produce a MIC of the ciphered (or plain) text



...Integrity Protection with Authenticated Modes - "intrinsic" (cont.)

Galois/Counter Mode (GCM)

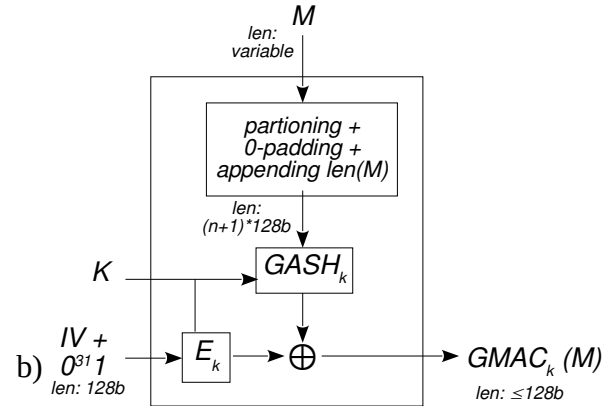
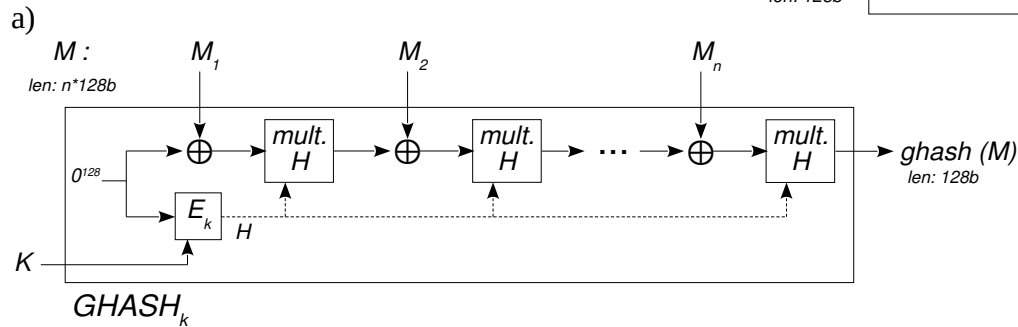
- NIST 800-38D
- process [FIG]
- confidentiality:
 - AES-128b is typical
- integrity protection: GMAC [FIG next page]
 - ciphertext + Associated Data
- apparently, highly performative (parallelization by inter-leaving & pipelining?)
- some obs:
 - AD and C are padded separately before being concatenated; IV is used sequentially in GMAC first and then in CTR; internal intermediate states are to be kept private



...Integrity Protection with Authenticated Modes - "intrinsic": Galois/Counter Mode

Fig. Basic constructs of Galois/Counter Mode for integrity protection:

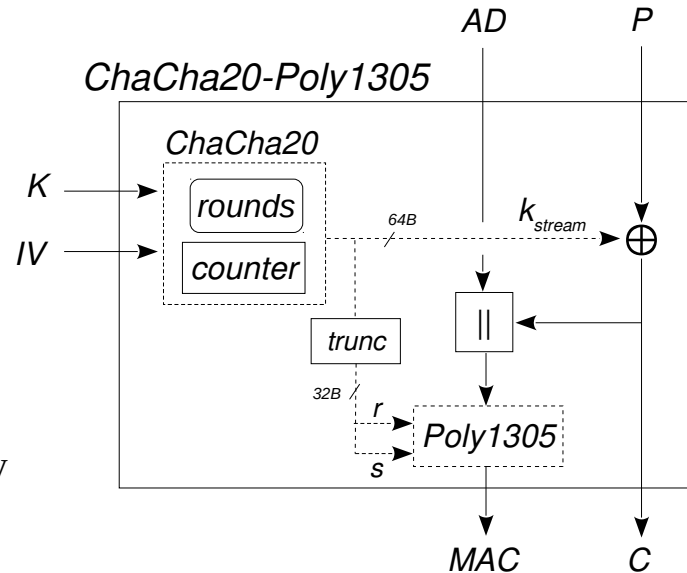
- a) hash function GHASH;
- b) Message Authentication Code GMAC.



...Integrity Protection with Authenticated Modes - "intrinsic"

ChaCha20-Poly1305

- RFC 8439
- designed by D. J. Bernstein
 - ChaCha20¹ stream cipher
 - Poly1305 authenticator
- process [FIG]
 - key stream feeds message integrity code function first (counter=0) and then XOR cipher (counter>0)
 - *AD* and *C* are padded separately before being concatenated



1 20-round version of ChaCha

...Integrity Protection with Authenticated Modes - "intrinsic": ChaCha20-Poly1305

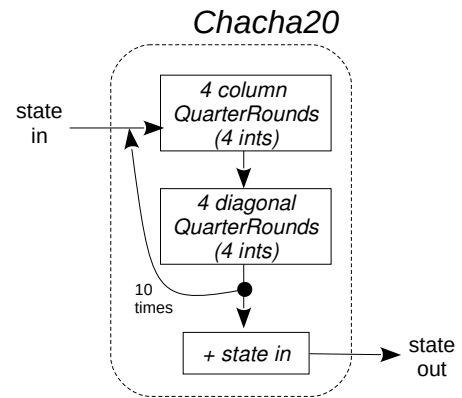
- **ChaCha20:**

- input: 32B (256b) key, 12B (96b) IV (*nonce*), 4B (32b) counter [FIG]
- output: stream key in 64B (512b) blocks
- internal state: 4 x 4 x 4B (16 32b-integers) = 64 B (512b)
- block function: [FIG]
 - sequence of 10 double "quarter"-rounds
 - quarter-round: set of operations on 4 numbers (addition modulo 2^{32} , XOR, left-shift of n bits)
 - final sum with input
- encipher algorithm:
 - for each iteration (increasing counter), use key stream to cipher 64B block of Plaintext
- deciphering is obvious

state (4x4 32b ints) in:

| | | | |
|------|------|------|------|
| Cnst | Cnst | Cnst | Cnst |
| Key | Key | Key | Key |
| Key | Key | Key | Key |
| Ctr | IV | IV | IV |

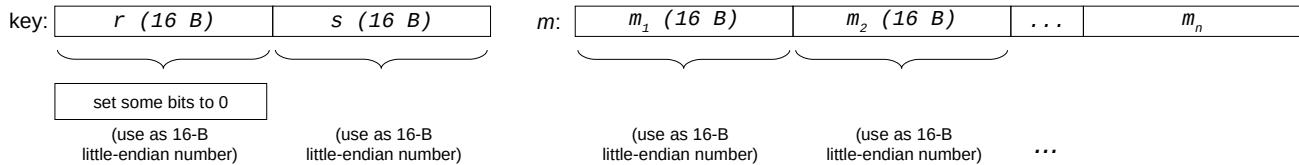
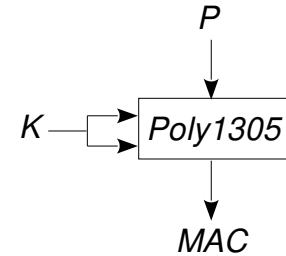
Cnst "expa" Cnst "nd 3" Cnst "2-by" Cnst: "te k"



...Integrity Protection with Authenticated Modes - "intrinsic": ChaCha20-Poly1305

- **Poly1305**

- input:
 - 32B (256b) **one-time**, two-part key: r (16B) || s (16B)
 - arbitrary-length message
- output: 16B (128b) MAC
- arithmetic operations with 16B groups used as numbers



```

for i: 1..n
    Acc = 0
    Acc = Acc + mi
    Acc = Acc × r
    Acc = Acc % (2130-5)
Acc = Acc + s
    
```

MAC: (use Acc as 16-B string) = $(m_1 r + m_2 r^2 + \dots + m_n r^n) \bmod (2^{130}-5) + s$

Fig. D. J. Bernstein's Poly1305 authenticator: 128b MAC.

...Integrity Protection with Authenticated Modes – "intrinsic"

SpongeWrap

- sponge construct in duplex mode

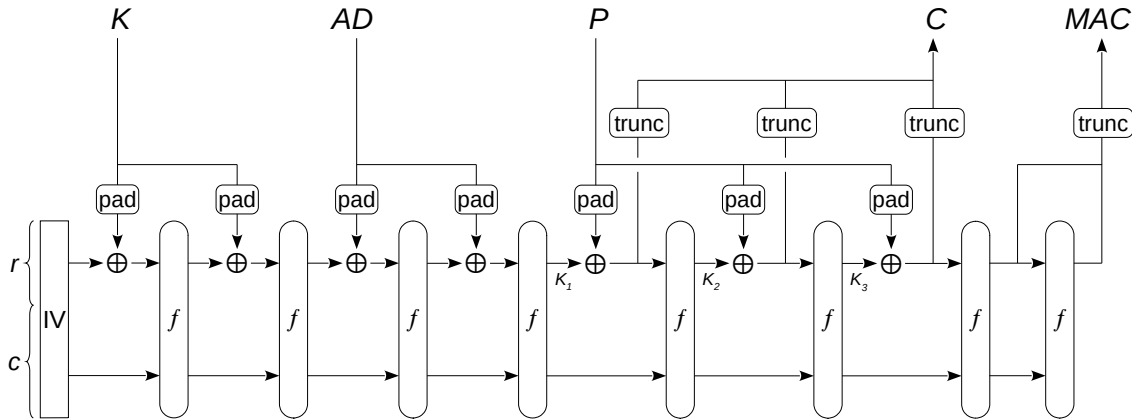


Fig. Sponge construct in duplex-mode for authenticated enciphering (AEAD): notice that plaintext P is XORed, block by block, with f 's outputs - the *keystream*, k_i ! The function *pad* is used for padding and separation of data segments. The *trunc* removes padding and truncates the MAC.

(in Y.Sasaki and K.Yasuda, 2015)

Authentication (*to be presented*)

- assuring the identity of the entities involved
- *topic to be presented!*

Pointers...

- **Steganography: Hiding Data Within Data**, 2001 – Gary Kessler
 - www.garykessler.net/library/steganography.html
- The “**HMAC RFC**”, 1997 – H. Krawczyk, M. Bellare, R. Canetti
 - tools.ietf.org/html/rfc2104
- “**Authenticated encryption**”, Wikipedia
 - en.wikipedia.org/wiki/Authenticated_encryption
- “**Recommendation for Block Cipher Modes of Operation: Galois/Counter Mode (GCM) and GMAC**”, 2007 – M. Dworkin, NIST
 - nvlpubs.nist.gov/nistpubs/Legacy/SP/nistspecialpublication800-38d.pdf
- “**The Poly1305-AES Message-Authentication Code**”, 2005 – D. Bernstein
 - link.springer.com/content/pdf/10.1007/11502760_3.pdf
- “**ChaCha, a variant of Salsa20**”, 2008 – D. Bernstein
 - cr.ypt.to/chacha/chacha-20080120.pdf
- “**Duplexing the sponge: single-pass authenticated encryption...**”, 2011 – G. Bertoni, J. Daemen, M. Peeters, G. Van Assche
 - eprint.iacr.org/2011/499.pdf